

# $^3\text{He}$ structure and Generalized Parton Distributions

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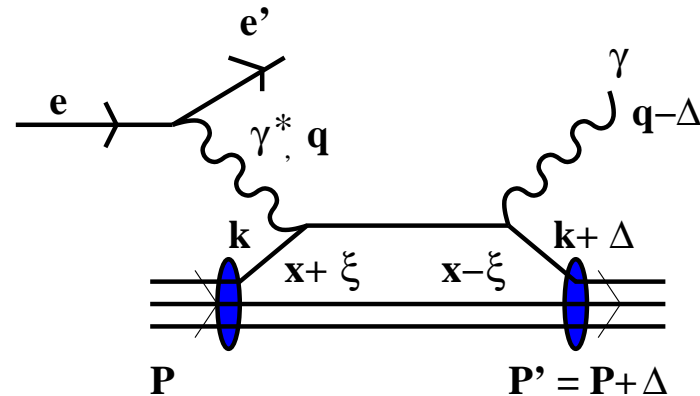


# Outline

- Hard exclusive processes and Generalized Parton Distributions (GPDs)
- GPDs of nuclei:
  - GPDs in Impulse Approximation (IA);
  - relevance of light nuclei.
- Calculation of GPDs of  ${}^3\text{He}$   
( S.S., PRC 70 (2004) 015205; NPA 755c (2005) 523 )
- Conclusions

# GPDS: Definition (X. Ji PRL 78 (97) 610)

For a  $J = \frac{1}{2}$  target,  
in a hard-exclusive process,  
( $Q^2, \nu \rightarrow \infty$ )  
such as DVCS:



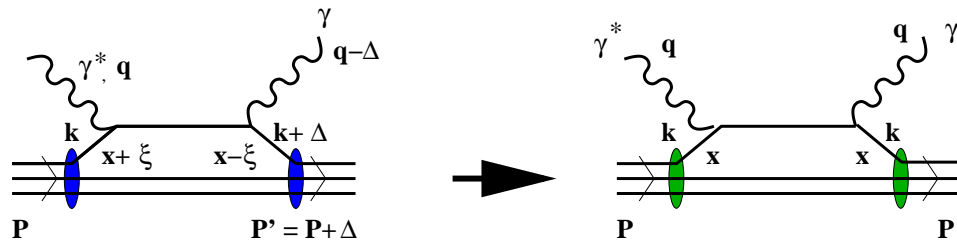
the GPDs  $H_q(x, \xi, \Delta^2)$  and  $E_q(x, \xi, \Delta^2)$  are introduced:

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \psi_q(\lambda n/2) | P \rangle = H_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + E_q(x, \xi, \Delta^2) \bar{U}(P') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$

- $\Delta = P' - P$ ,  $q^\mu = (q_0, \vec{q})$ , and  $\bar{P} = (P + P')^\mu / 2$
- $x = k^+ / P^+$ ;  $\xi = \text{"skewness"} = -\Delta^+ / (2\bar{P}^+)$
- $x \leq -\xi \rightarrow$  GPDs describe *antiquarks*;  
 $-\xi \leq x \leq \xi \rightarrow$  GPDs describe  $q\bar{q}$  pairs;  $x \geq \xi \rightarrow$  GPDs describe *quarks*

## GPDs: limits

- when  $P' = P$ , i.e.,  $\Delta^2 = \xi = 0$ , one recovers the usual PDFs:



$$H_q(x, \xi, \Delta^2) \implies H_q(x, 0, 0) = q(x)$$

- the  $x$ -integration yields the  $q$ -contribution to the Form Factors

$$\int dx \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \psi_q(\lambda n/2) | P \rangle =$$

$$\int dx H_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + \int dx E_q(x, \xi, \Delta^2) \bar{U}(P') \frac{\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$

$$\implies \int dx H_q(x, \xi, \Delta^2) = F_1^q(\Delta^2) \quad \int dx E_q(x, \xi, \Delta^2) = F_2^q(\Delta^2)$$

## GPDs: polarized case (X. Ji PRL 78 (97) 610)

For a  $J = \frac{1}{2}$  target, in a hard-exclusive process, ( $Q^2, \nu \rightarrow \infty$ ) the GPDs  $\tilde{H}_q(x, \xi, \Delta^2)$  and  $\tilde{E}_q(x, \xi, \Delta^2)$  are introduced:

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \gamma_5 \psi_q(\lambda n/2) | P \rangle = \tilde{H}_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu \gamma_5 U(P) + \tilde{E}_q(x, \xi, \Delta^2) \bar{U}(P') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M} \gamma_5 U(P) + \dots$$

- In the forward limit,  $\Delta^2 = \xi = 0$ , one recovers the helicity-dependent GPDs:

$$\tilde{H}_q(x, \xi, \Delta^2) \implies \tilde{H}_q(x, 0, 0) = \Delta q(x)$$

- the  $x$ -integration yields the q-contribution to the Form Factors

$$\int dx \tilde{H}_q(x, \xi, \Delta^2) = G_A^q(\Delta^2)$$



## GPDs: A unique tool...

- to unravel the **spin structure** of hadrons at **parton level** (access to orbital angular momentum, solution (?) of the “**Spin Crisis**”);
- to explore the **3-dimensional structure** of hadrons at **parton level**;
- to access crucial features of **small-x** Physics (vector meson production and gluon distributions).

### ... but also an experimental challenge:

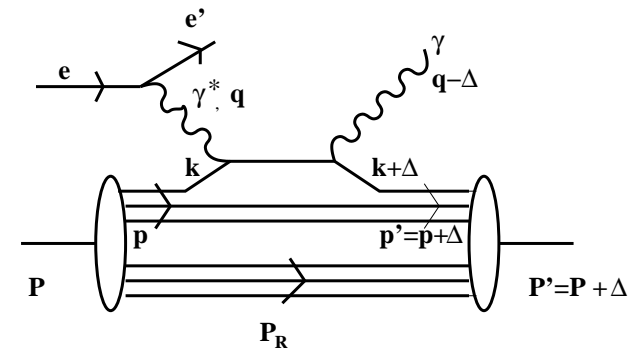
- **Hard exclusive processes** → **small X-sections**;
- **GPDs** enter the X-sections through **convolutions** in the  $x$  variable:

$$T_{DVCS} \propto \int_1^1 dx \frac{H(x, \xi, \Delta^2)}{x - \xi + i\epsilon} + \dots$$

the **extraction** of the information on **GPDs** from data is **difficult**;  
The “slice”  $x = \xi$  gives the main contribution.

# Nuclei: why?

ONE of the reasons is understood by studying coherent DVCS in I.A.:



In a symmetric frame ( $\bar{p} = (p + p')/2$ ):

$$k^+ = (x + \xi)\bar{P}^+ = (x' + \xi')\bar{p}^+,$$

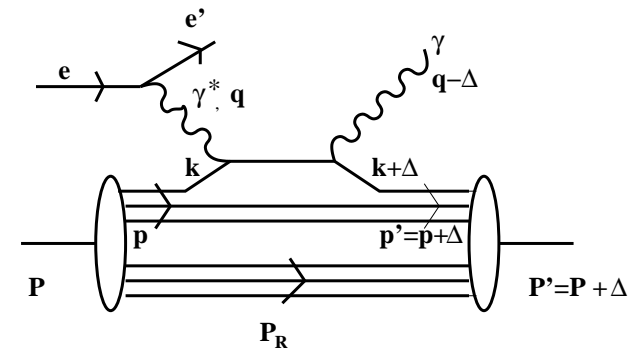
$$(k + \Delta)^+ = (x - \xi)\bar{P}^+ = (x' - \xi')\bar{p}^+,$$

if  $\Delta^2 \ll M^2, \xi^2 \ll 1$ , one has

$$H_q(x, \xi, \Delta^2) \simeq \int d\vec{k} \frac{k^+}{k_0} \delta\left(x + \xi - \frac{k^+}{\bar{P}^+}\right) \sum_r \langle P' | b_r^{q,+}(\vec{k} + \vec{\Delta}) b_r^q(\vec{k}) | P \rangle.$$

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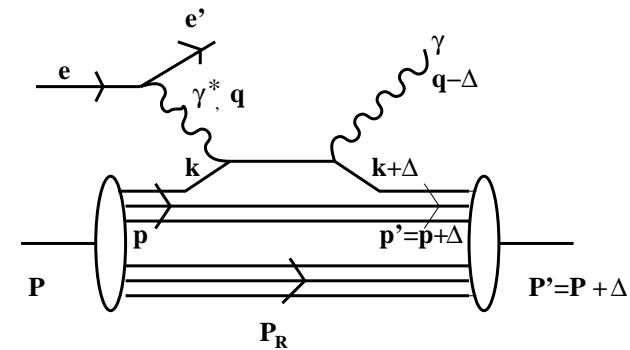
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By properly inserting complete sets of states for the interacting nucleon and the recoiling system ( S.S., V. Vento PRD 69, (2004) 094004 ):

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ONE of the reasons is understood by studying coherent DVCS in I.A.:



In a symmetric frame ( $\bar{p} = (p + p')/2$ ):

$$\begin{aligned} k^+ &= (x + \xi)\bar{P}^+ = (x' + \xi')\bar{p}^+ , \\ (k + \Delta)^+ &= (x - \xi)\bar{P}^+ = (x' - \xi')\bar{p}^+ , \end{aligned}$$

if  $\Delta^2 \ll M^2, \xi^2 \ll 1$ , one has

$$\begin{aligned} H_q(x, \xi, \Delta^2) &= \langle P' | \sum_{\vec{P}'_R, S'_R, \vec{p}', s'} \{ |P'_R S'_R\rangle |p' s'\rangle \} \{ \langle P'_R S'_R | \langle p' s' | \} \\ &\int d\vec{k} \frac{k^+}{k_0} \delta \left( x + \xi - \frac{k^+}{\bar{P}^+} \right) \sum_r b_{q,r}^+(\vec{k} + \vec{\Delta}) b_{q,r}(\vec{k}) \\ &\sum_{\vec{P}_R, S_R, \vec{p}, s} \{ |P_R S_R\rangle |ps\rangle \} \{ \langle P_R S_R | \langle ps | \} | P \rangle , \end{aligned}$$

## Why nuclei? - 2

and, since  $\{\langle P_R S_R | \langle ps | \rangle | PS \rangle = \langle P_R S_R, ps | PS \rangle (2\pi)^3 \delta^3(\vec{P} - \vec{P}_R - \vec{p}) \delta_{S, S_R s}$ ,  
 a convolution formula can be obtained (S.S. PRC 70, 015205 (2004)):

$$H_q^A(x, \xi, \Delta^2) \simeq \sum_N \int_x^1 \frac{dz}{z} h_N^A(z, \xi, \Delta^2) H_q^N\left(\frac{x}{z}, \frac{\xi}{z}, \Delta^2\right)$$

in terms of  $H_q^N(x', \xi', \Delta^2)$ , the **GPD** of the nucleon  $N$ , and of the light-cone off-diagonal momentum distribution:

$$h_N^A(z, \xi, \Delta^2) = \int dE d\vec{p} P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E) \delta\left(z + \xi - \frac{p^+}{\bar{P}^+}\right)$$

where  $P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E)$ , is the one-body off-diagonal spectral function for the nucleon  $N$  in the nucleus,

$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) = \frac{1}{(2\pi)^3} \frac{1}{2} \sum_M \sum_{R,s} \langle \vec{P}' M | (\vec{P} - \vec{p}) S_R, (\vec{p} + \vec{\Delta}) s \rangle \\ \times \langle (\vec{P} - \vec{p}) S_R, \vec{p} s | \vec{P} M \rangle \delta(E - E_{min} - E_R^*).$$

## Why nuclei? - 3

The obtained expressions have the correct **limits**:

- the **x-integral** gives the f.f.  $F_q^A(\Delta^2)$  in I.A.:

$$\int dx H_q^A(x, \xi, \Delta^2) = F_q^N(\Delta^2) \int dE d\vec{p} P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E) = F_q^A(\Delta^2)$$

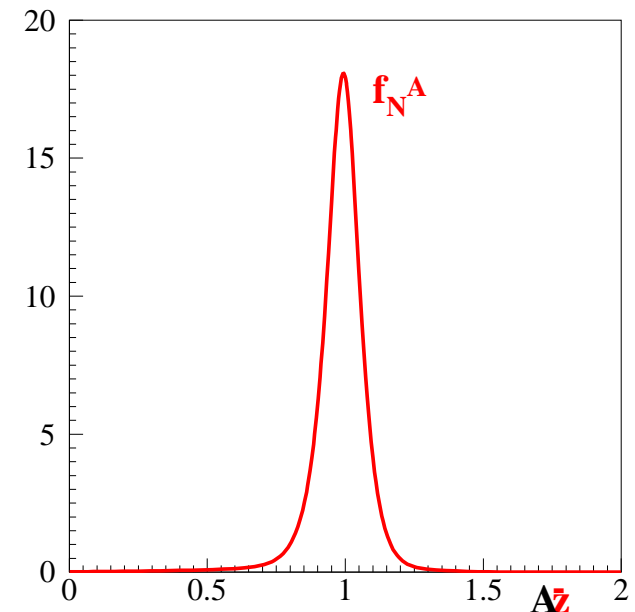
- forward limit** (standard DIS):

$$q^A(x) \simeq \sum_N \int_x^1 \frac{d\tilde{z}}{\tilde{z}} f_N^A(\tilde{z}) q^N\left(\frac{x}{\tilde{z}}\right)$$

with the **light-cone momentum distribution**:

$$f_N^A(\tilde{z}) = \int dE d\vec{p} P_N^A(\vec{p}, E) \delta\left(\tilde{z} - \frac{p^+}{P^+}\right),$$

which is strongly peaked around  $A\tilde{z} = 1$ :



## Why nuclei? - 4

In DIS, the contribution of nucleons with large longitudinal momentum  $\tilde{z}$  can be observed only at high  $x$ , where the cross sections are vanishingly small, since  $q^N$  is also vanishing; the same does not happen for GPDs, since one has:

$$H_q^A(x, \xi, \Delta^2) \simeq \sum_N \int_x^1 \frac{dz}{z} h_N^A(z, \xi, \Delta^2) H_q^N\left(\frac{x}{z}, \frac{\xi}{z}, \Delta^2\right) \quad \text{with:}$$

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so that, by tuning the independent variable  $\xi$ , one can select regions where nucleons with large  $\tilde{z}$  dominate, even if  $x$  is low (being  $x \leq z = \tilde{z} - \xi$ ) and  $H_q^N$  is not vanishing.

(Firstly observed in:  
Berger, Cano, Diehl and Pire,  
PRL 87 (2001) 142302)

## Why nuclei? - 4

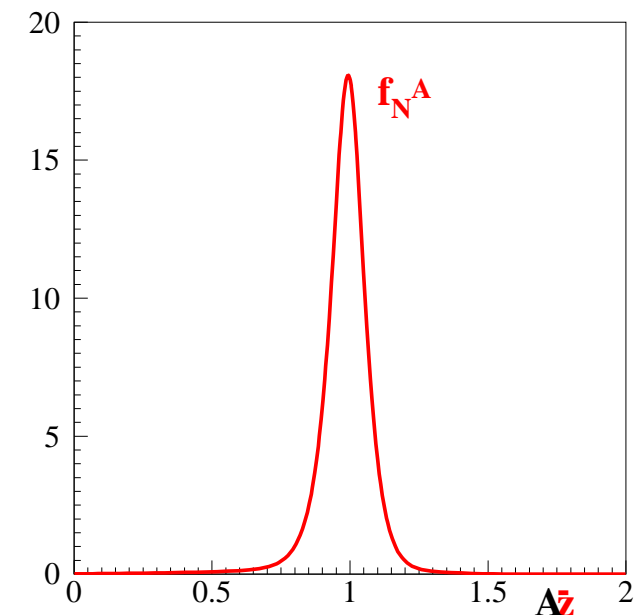
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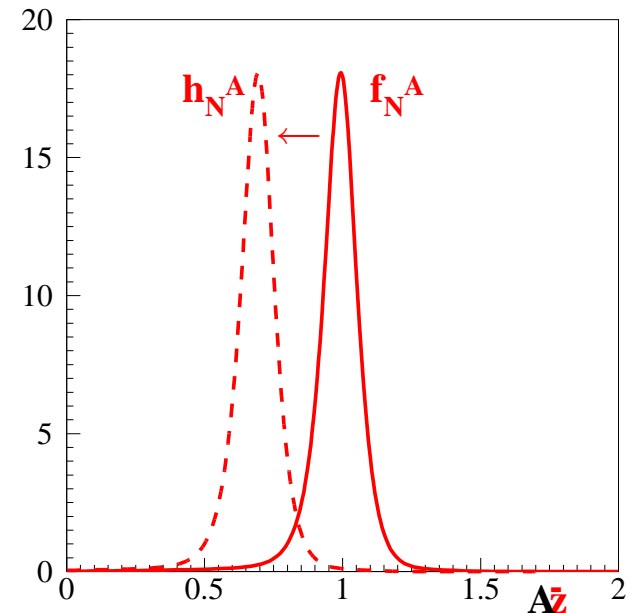
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## Why nuclei? - 5

### Several relevant issues can be therefore investigated...:

- the nuclear short range structure, at quark level, can be accessed through non-vanishing observables;
- the reaction mechanism, i.e. the validity of I.A. and the relevance of effects beyond it (non nucleonic degrees of freedom, off-shell effects...);
- other features not discussed here: large distance nuclear structure (Freund and Strikman, PRC 69 (2004) 015203); transverse location of quarks in nuclei (Ralston & Pire PRD 66 (2002) 111501; Polyakov PLB 555 (2003) 57); Color Transparency phenomena, (S.Liuti and S.K.Taneja, hep-ph/0504027);  $^4\text{He}$  and heavier spinless nuclear targets (Guzey & Strikman, PRC 68 (2003) 015204);

### ... with some effort:

measurements are difficult: need for a recoil detector to be sure that the nucleus did not break (despite of this, some data are already available!);



## GPDs for light nuclei - the deuteron:

In this framework, as always, **light nuclei** play a special rôle: conventional **nuclear effects** can be **safely estimated** for them. Any deviation from the predicted behavior can be interpreted as an exotic one.

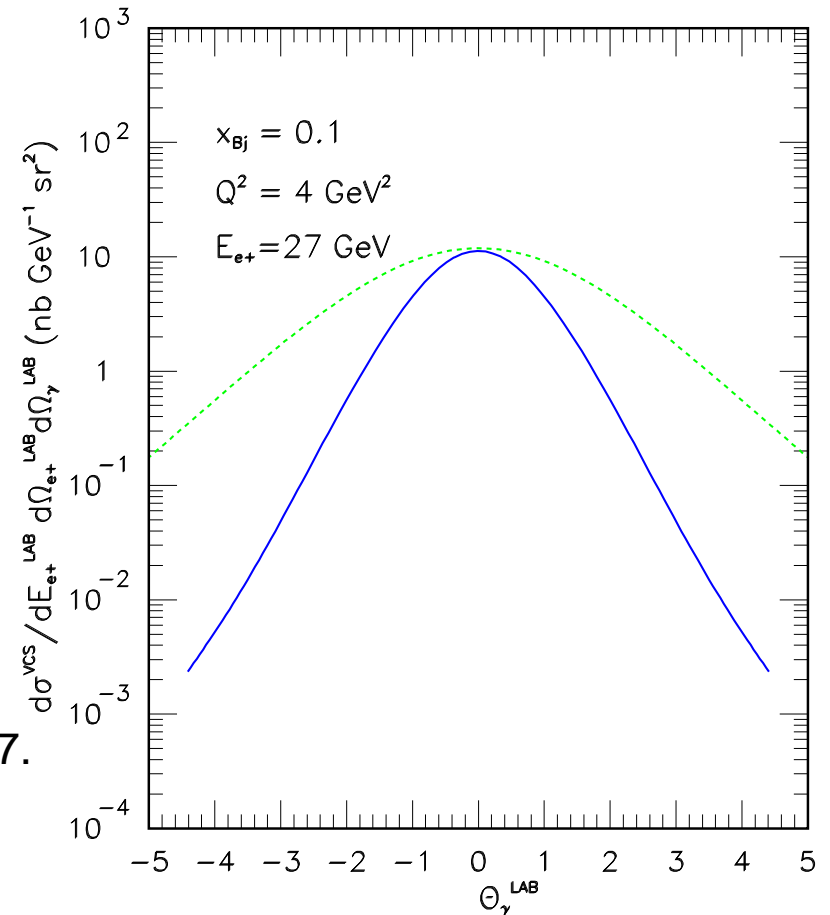
For the **deuteron** a complete analysis is being done:

- for **spin 1 nuclei**, **GPDs** have been defined;  
Berger, Cano, Diehl, Pire, PRL 87 (2001) 142302
- for the **deuteron**, **GPDs** have been calculated in **I.A.**,  
using a **light-front** approach;  
Cano & Pire, NPA 711 (2002) 133
- for the **coherent** (no break-up) **channel**, the relevant **cross sections** and  
asymmetries have been **estimated**, for photon and meson deep  
electroproduction, in the kinematics of JLAB and HERMES;

## The deuteron - 2

The **signal of coherent** scattering on the **deuteron** is found to be **comparable** to that found for **the proton target**, at least at low momentum transfer  $\rightarrow$  **experiments are feasible and the short distance structure of the deuteron can be studied.**

Cano & Pire, EPJA 19 (2004) 423;  
Kirchner & Müller, EPJC 32 (2003) 347.



# GPDs for $^3\text{He}$

$^3\text{He}$  is a **theoretically well known** nucleus and it has been used extensively as an **effective neutron target**, especially to unveil the **spin structure** of the **free neutron**. The helicity independent GPD  $H_q^3$  has been calculated in **I.A.**, in the  $\Delta^2 \ll M^2$ ,  $\xi^2 \ll 1$  region, relevant to the study of **coherent DVCS**.

In the convolution formula, valid **for any spin 1/2 nucleus**:

$$H_q^3(x, \xi, \Delta^2) \simeq \sum_N \int_x^1 \frac{dz}{z} h_N^3(z, \xi, \Delta^2) H_q^N\left(\frac{x}{z}, \frac{\xi}{z}, \Delta^2\right)$$

the light-cone off-diagonal momentum distribution:

$$h_N^3(z, \xi, \Delta^2) = \int dE d\vec{p} P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) \delta\left(z + \xi - \frac{p^+}{P^+}\right)$$

has been calculated evaluating the **one-body off-diagonal spectral function**  $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$ , by means of a **realistic** treatment based on **wave functions** obtained through the **AV18** interaction ( w.f. from the Pisa group, A. Kievsky *et al* NPA 577, 511 (1994), overlaps from the Pisa-Roma collaboration, A. Kievsky *et. al*, PRC 56, 64 (1997)). For  $H_q^N$ , a model by Radyushkin (PRD 61, 074027 (2000)) has been used.

## The calculation has the correct limits:

1 - Forward limit: the ratio:

$$R_q(x, 0, 0) = \frac{H_q^3(x, 0, 0)}{2H_q^p(x, 0, 0) + H_q^n(x, 0, 0)}$$

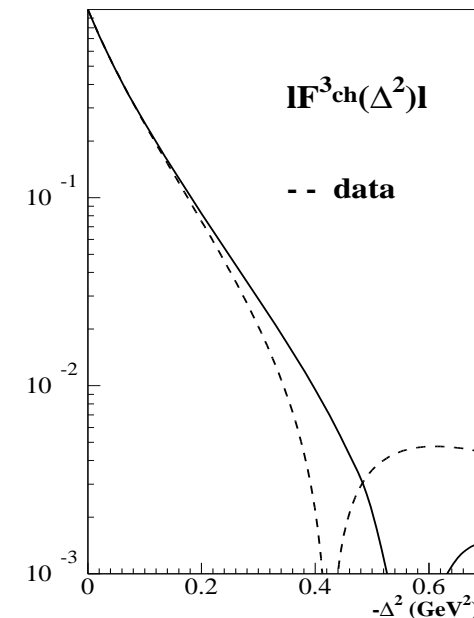
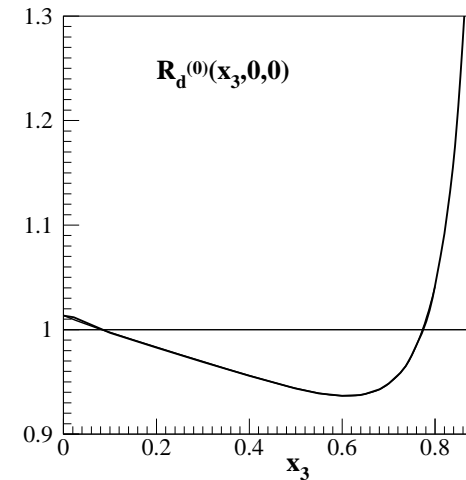
$$= \frac{q^3(x)}{2q^p(x) + q^n(x)}$$

shows an EMC-like behavior;

2 - Charge F.F.:

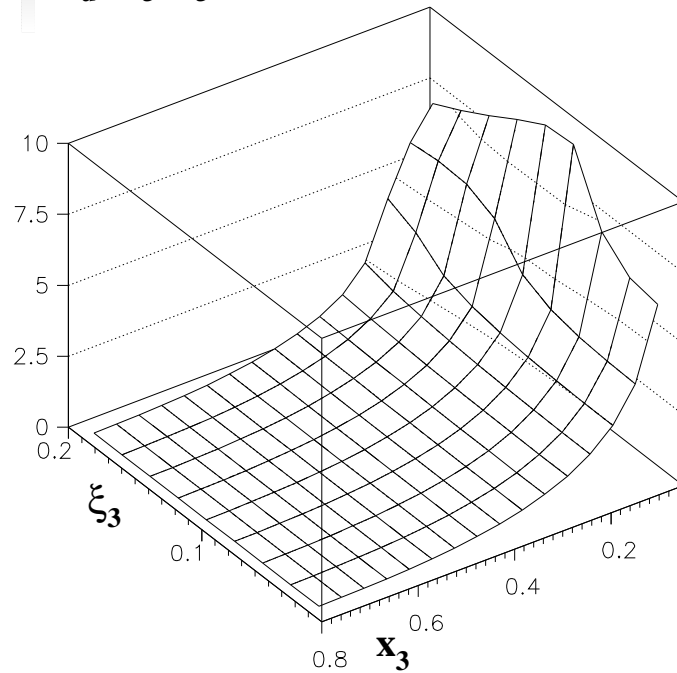
$$\int dx H_q^3(x, \xi, \Delta^2) = F_q^3(\Delta^2)$$

in good agreement with data in the region relevant to the coherent process,  $\Delta^2 \ll 0.25 \text{ GeV}^2$ .

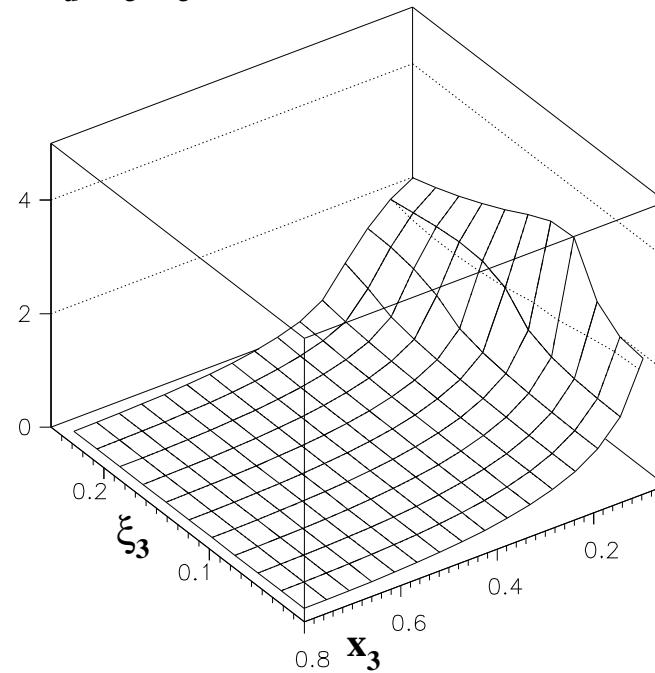


Typical trend ( $x_3 = 3x, \xi_3 = 3\xi$ )

$H_u^3(x_3, \xi_3, \Delta^2 = -0.15 \text{ GeV}^2)$



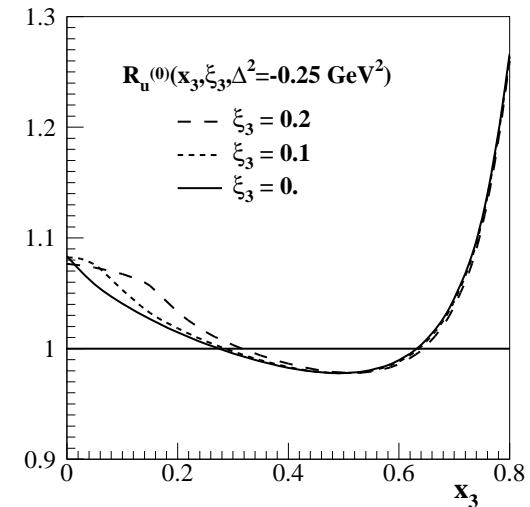
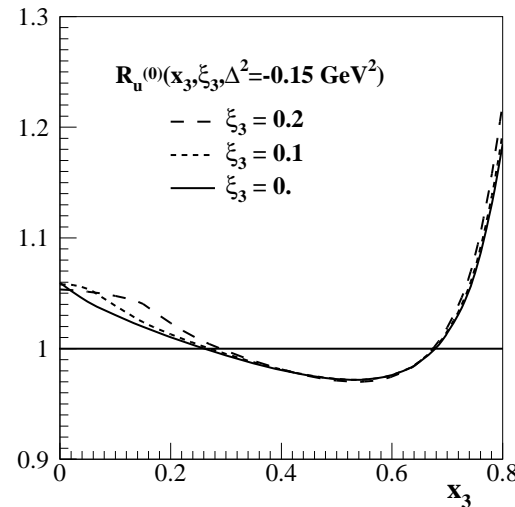
$H_u^3(x_3, \xi_3, \Delta^2 = -0.25 \text{ GeV}^2)$



# Nuclear effects - 1



Nuclear effects grow with  $\xi$  at fixed  $\Delta^2$ , and with  $\Delta^2$  at fixed  $\xi$ :



$$R_q^{(0)}(x, \xi, \Delta^2) = \frac{H_q^3(x, \xi, \Delta^2)}{2H_q^{3,p}(x, \xi, \Delta^2) + H_q^{3,n}(x, \xi, \Delta^2)}$$

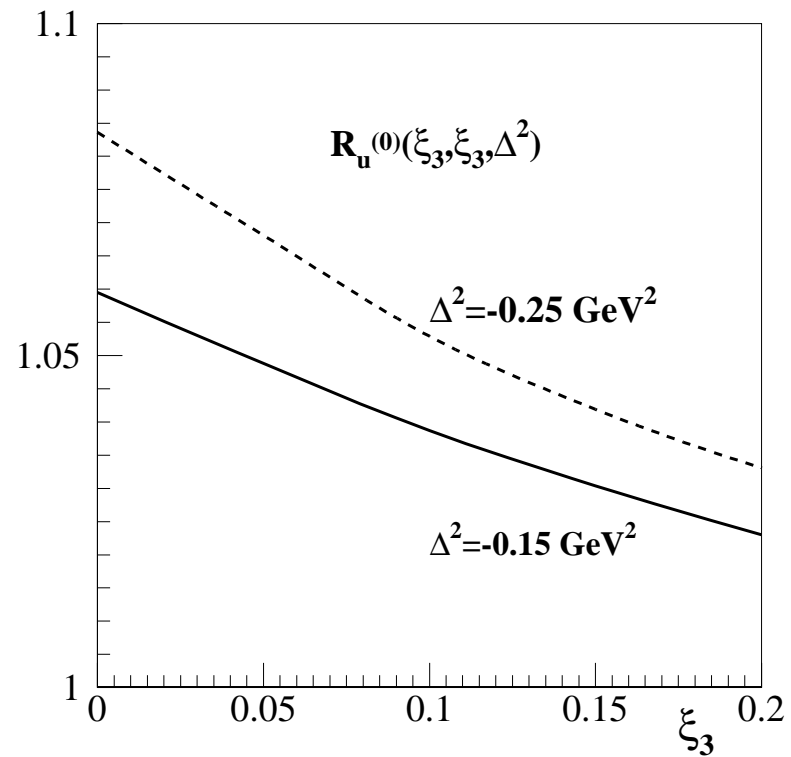
$$H_q^{3,N}(x, \xi, \Delta^2) = \tilde{H}_q^N(x, \xi) F_q^3(\Delta^2)$$

$R_q^{(0)}(x, \xi, \Delta^2)$  would be one if there were no nuclear effects;  
 as it is found also for the deuteron, there is **no factorization** into terms  
 dependent separately on  $\Delta^2$  and  $x, \xi$  (the factorization hypotheses has been  
 used to estimate nuclear GPDs).

## Nuclear effects - 2



Nuclear effects are large even in the important region  $x = \xi$ :

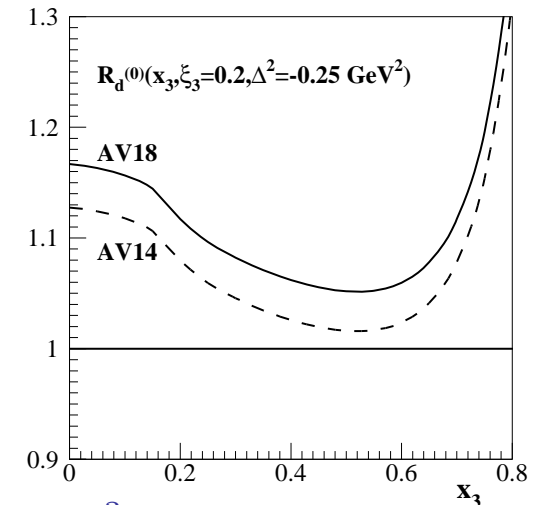
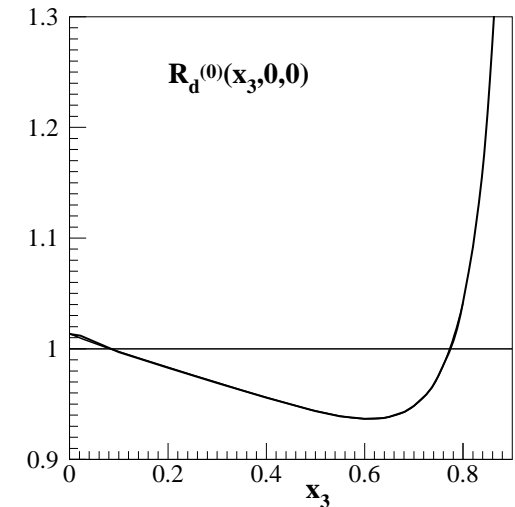


## Nuclear effects - 3

Nuclear effects are bigger than in the forward case: dependence on the potential

● **Forward case:** Calculations using the **AV14** or **AV18** interactions are **indistinguishable**

● **Non-forward case:** Calculations using the **AV14** and **AV18** interactions **do differ:**





# Conclusions



## What has been done:

- An instant form, I.A. calculation of  $H_q(x, \xi, \Delta^2)$ , using the AV18 wave functions;
- Estimates of nuclear effects: larger than in the forward situation, increasing with  $\xi$  and  $\Delta^2$ , larger for the  $d$  flavor than for the  $u$  one, important also at  $x = \xi$ , dependent on the NN interaction.



## What has to be done:

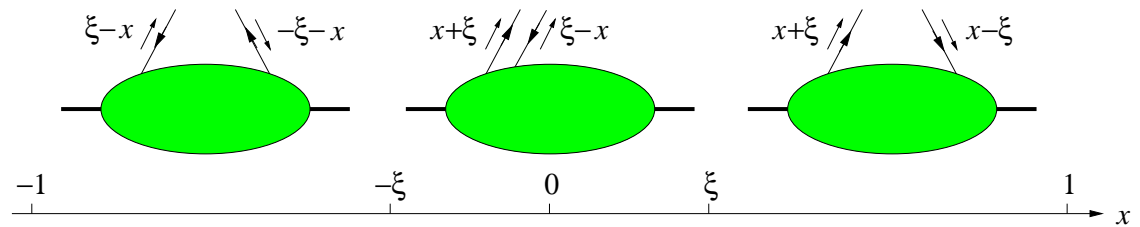
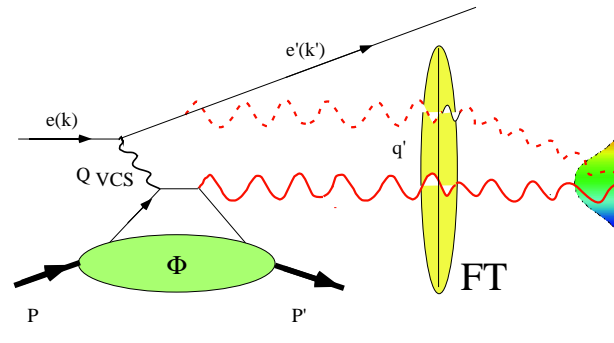
- to implement a relativistic treatment,
- to estimate X-sections and helicity dependent GPDs  $\longrightarrow$   
 $\longrightarrow$  angular momentum structure of the free neutron.



## What is expected:

- To get a short distance understanding of quark confinement in light nuclei;
- To obtain a picture of light nuclei at quark level;
- To understand to what extent light nuclei are loosely bound systems of protons and neutrons.

some figures:



## some figures - 2:

